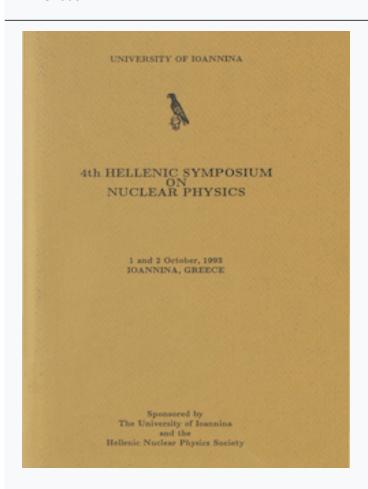




## **HNPS Advances in Nuclear Physics**

Vol 4 (1993)

HNPS1993



# Nuclear structure dependence of the coherent $(\mu$ -,e-) conversion matrix elements

T. S. Kosmas, Amand Faessler, F. Simkovic, J. D. Vergados

doi: 10.12681/hnps.2888

### To cite this article:

Kosmas, T. S., Faessler, A., Simkovic, F., & Vergados, J. D. (2020). Nuclear structure dependence of the coherent  $(\mu$ -,e-) conversion matrix elements. *HNPS Advances in Nuclear Physics*, 4, 215–228. https://doi.org/10.12681/hnps.2888

# Nuclear structure dependence of the coherent $(\mu^-, e^-)$ conversion matrix elements <sup>1</sup>

T. S. Kosmas<sup>1,2</sup>, Amand Faessler<sup>2</sup>, F. Šimkovic<sup>2</sup> and J. D. Vergados<sup>1</sup>

Division of Theoretical Physics, University of Ioannina, GR-451 10 Ioannina, Greece Institut für Theoretische Physik, Universität Tübingen, D-72076 Tübingen, Germany

#### Abstract

Coherent rates for the neutrinoless muon to electron conversion.  $(\mu^-, \epsilon^-)$  in the presence of nuclei, are studied throughout the periodic table. The relevant ground state to ground state transition matrix elements are obtained in the context of the quasi-particle RPA. The results are discussed in view of the existing experimental data extracted at TRIUMF and PSI for  $^{48}Ti$  and  $^{208}Pb$  nuclei and compared with: (i) the single particle shell model results calculated with a determinantal ground state wave function and (ii) the results deduced in a local density approximation.

#### 1. Introduction

The neutrinoless muon to electron conversion in the field of a nucleus, represented by the reaction

$$\mu^- + (A, Z) \to e^- + (A, Z)^*$$
 (1)

is forbidden in the Standard Model by lepton flavor conservation and plays an important role in the study of the muon number violation [1]-[6]. Within the last decade, experiments at TRIUMF and PSI aiming to search for  $\mu - \epsilon$  conversion electrons have mainly employed  $^{48}Ti$  as target but up to now they have not measured any event. Instead, for the upper limit on the branching ratio

$$R_{\epsilon N} = \frac{\Gamma(\mu^-, \epsilon^-)}{\Gamma(\mu^-, \nu_\mu)} \tag{2}$$

the two independent experiments have obtained about the same value, i.e. at TRIUMF [7]

<sup>&</sup>lt;sup>1</sup>Presented by T. S. Kosmas

$$R_{eN} < 4.6 \times 10^{-12}$$
, (90% Confidence Level) (3)

and at PSI [8]

$$R_{eN} < 4.9 \times 10^{-12}$$
, (90% Confidence Level) (4)

The experimental sensitivity is expected to be further improved by two to three orders of magnitude by on going experiments at PSI (to  $10^{-14}$ ) [8], at TRIUMF (to  $10^{-14}$ ) [7] and at INS (to  $10^{-14}-10^{-16}$ ) [9]. The most interesting result of these experiments would be not a new upper limit but some events of  $(\mu^-,e^-)$  which will signal the break down of the muon number conservation and will reveal "new physics mechanisms" [3,5] beyond the Standard Model. For a demonstration of the motivation for the present work we would go through a brief historical review of the heroic experimental efforts to observe events of process (1) and of the development of the theoretical background for the  $(\mu^-,e^-)$  conversion.

Very early the first experiments by Steinberger and Wolfe [10] using Cu as target found for the branching ratio  $R_{eN}$  the upper limit  $R_{eN} < 10^{-4}$ . Some years later two simultaneous experiments by Conversi et al. [11] using also Cu reduced the upper limit to  $R_{eN} < 5 \times 10^{-5}$  and  $R_{eN} < 5 \times 10^{-6}$ , respectively. Using the same target one decade later Bryman et al. [12] improved the branching ratio to  $R_{eN} < 1.6 \times 10^{-8}$ . Experiments with targets different than Cu, have been performed on sulphar  $^{32}S$  by Baderctsher et al. [13] ( $R_{eN} < 7 \times 10^{-11}$ ) and recently at TRIUMF on  $^{208}Pb$  [7] ( $R_{eN} < 4.9 \times 10^{-10}$ , value obtained from preliminary results).

On the theoretical side the basic background for the  $(\mu^-, e^-)$  conversion has been set by Weinberg and Feinberg [1] who assumed that this process is mediated by virtual photons. Non-photonic contributions have been included later on (see ref. [3] and references therein) in the post gauge theory era. An interesting feature of the  $(\mu^-, e^-)$  conversion process is the possibility of the ground state to ground state transitions. The strength of this channel appears enhanced because of the coherent contribution of all nucleons of the participating nucleus. Weinberg and Feinberg [1] estimated that, the coherent channel dominates the  $(\mu^-, e^-)$  conversion process and that in the region of Cu the coherent rate is at least six times bigger than the incoherent one. This is the reason why the study of the coherent rate met a good priority by the authors investigating the  $(\mu^-, e^-)$  conversion rates and why the majority of experiments were performed on targets around Cu.

Calculations of the coherent rate have been performed in terms of the nuclear form factors [2,3,14] in the framework of gauge theories. For the incoherent rate the first calculations were done only recently [15,4] in nuclei with closed shells or subshells throughout the periodic table by employing shell model sum-rules i.e by assuming closure approximation and using a single Slater determinant for the initial (ground) state. These shell model results showed that the coherent channel dominates the  $(\mu^-, e^-)$  process for light and medium nuclei but in the region of  $^{208}Pb$ , a great part of the rate goes to other inelastic channels. Also the dependence of the branching ratio  $R_{eN}$  on the nuclear mass A and charge Z showed a maximum around  $A \sim 100$  in agreement with the estimates of ref. [1].

Recent studies of the coherent and incoherent  $\mu-e$  conversion with two independent methods [16,17] provided us with new interesting information. In the first method [16] the local density approximation with a Lindhard function for the description of the elementary processes  $\mu^-p \to e^-p$  and  $\mu^-n \to e^-n$  was employed. The incoherent rate in this method was obtained by integrating over the excited states of a local Fermi sea. These results veryfied the estimates of Weinberg and Feinberg by showing that, the coherent contribution

is dominant for all nuclei of the periodic table, but they have shown that the branching ratio  $R_{eN}$  becomes maximum in the region of  $^{208}Pb$  and not in the region of Cu.

In the second study [17] the quasi-particle RPA (QRPA) was employed for explicit calculations of the final nuclear states entering the total (coherent and incoherent) rate. One of the advantages of this method is the possibility of calculating the mean excitation energy of the studied nucleus and thus checking the results of closure approximation which are sensitive to this property. An important result of the QRPA study [17] was that, in the  $(\mu^-, e^-)$  process the mean excitation energy of the nucleus is very small,  $\bar{E} \approx 2 MeV$  for  $^{48}Ti$ , and differs appreciably from that of  $(\mu^-, \nu_\mu)$  reaction,  $\bar{E} \approx 20 MeV$ , which had been used in shell model calculations [4]. This is mainly due to the fact that, the coherent channel is not possible in the latter process while in the  $(\mu^-, e^-)$  this is the dominant one. The quasi-particle RPA results shown also that the coherent rate for  $^{48}Ti$  is dominant.

The above discrepancies motivate a detailed study of all possible channels of the  $(\mu^-, e^-)$  conversion for medium and heavy nuclei and in particular for nuclei around  $^{208}Pb$ . In the present work we have done quasi-particle RPA calculations of the coherent  $(\mu^-, e^-)$  conversion rate while detailed calculations for the incoherent channels with the same method are in progress and will appear elsewhere [18].

In the set of isotopes we have chosen for study in the present work (see below table 1) we have included  $^{48}Ti$  and  $^{208}Pb$  for which recent experimental data exist for the upper limit on the branching ratio  $R_{eN}$  [7,8]. In the QRPA method nuclei with closed shells, like  $^{60}Ni$  and  $^{208}Pb$ , need a special treatment in order to determine the pairing parameters for protons  $(g_{pair}^p)$  and neutrons  $(g_{pair}^n)$ . In this work we follow the manner used recently in the double beta decay [19].

### 2. Brief description of the formalism for the coherent $(\mu^-, e^-)$ process

The operator involved in the relevant nuclear matrix elements needed for the  $(\mu^-, \epsilon^-)$  conversion rates has been described in detail in refs. [3,4,6]. Here we only give the non-relativistic expressions of the multipole expansion for the two components of this operator, i.e. the spin independent component (vector part)

$$T_M^{(l,0)J} = \tilde{g}_V \delta_{lJ} \sqrt{4\pi} \sum_{i=1}^A (3 + \beta \tau_{3i}) j_l(qr_i) Y_M^l(\hat{\mathbf{r}}_i)$$
 (5)

and the spin-dependent component (axial vector part)

$$T_M^{(l,1)J} = \tilde{g}_A \sqrt{\frac{4\pi}{3}} \sum_{i=1}^A (\xi + \beta \tau_{3i}) j_l(qr_i) \left[ Y^l(\hat{\mathbf{r}}_i) \otimes \sigma_i \right]_M^J$$
 (6)

The summation in eqs. (5) and (6) runs over all nucleons of the considered nucleus (impulse approximation). The parameters  $\hat{g}_V$ ,  $\hat{g}_A$  and  $\beta$  depend on the assumed mechanism for lepton flavor violation [3,6] and take the values

$$\dot{g}_V = \frac{1}{6}, \quad \dot{g}_A = 0, \quad \beta = 3 \quad (photonic \ case)$$
(7)

$$\hat{g}_V = \hat{g}_A = \frac{1}{2}$$
.  $\xi = f_V/f_A$ ,  $f_V = 1$ ,  $f_A = 1.24$  (non – photonic case) (8)

For the non-photonic case discussed in the present work,  $\beta = 5/6$ . In eqs. (5) and (6)  $j_l(qr)$  are the spherical Bessel functions with q representing the magnitude of the momentum

transferred to the nucleus. In a good approximation q is equal to the magnitude of the momentum of the outgoing electron i.e.

$$q \approx m_{\mu} - \epsilon_b - (E_f - E_{gs}) \tag{9}$$

where  $E_f$ ,  $E_{gs}$  are the energies of the final and ground state of the nucleus, respectively,  $m_{\mu}$  is the muon mass and  $\epsilon_b$  the muon binding energy.

In the case of the coherent process  $(E_f = E_i)$ , i.e. ground state to ground state  $(0^+ \rightarrow 0^+)$  transitions, only the vector component of the  $(\mu^-, e^-)$  operator contributes and the corresponding rate is proportional to the muon-nuclear overlap

$$|\langle f \mid \Omega(q) \mid i, \mu \rangle|^2 = \tilde{g}_V^2 (3 + f_V \beta)^2 \left[ \tilde{F}_p(q^2) + \frac{3 - f_V \beta}{3 + f_V \beta} \tilde{F}_n(q^2) \right]^2$$
 (10)

where  $\Omega$  is the responsible  $(\mu^-, e^-)$  operator and

$$\tilde{F}_{p,n}(q^2) = \int d^3x \, \rho_{p,n}(\mathbf{x}) \, e^{-i\mathbf{q}\cdot\mathbf{x}} \, \Phi_{\mu}(\mathbf{x}) \tag{11}$$

In the latter definition,  $\rho_p(\mathbf{x})$ ,  $\rho_n(\mathbf{x})$  represent the proton, neutron densities normalized to Z and N, respectively and  $\Phi_{\mu}(\mathbf{x})$  is the muon wave function. If we assume that the muon is at rest in the 1s atomic orbit and that its wave function varies a little inside nuclei (for light and medium nuclei this is a good approximation), we can factorize an average value  $\langle \Phi_{1s} \rangle$  of the muon wave function in eq. (11) and write

$$\tilde{F}_{p}(q^{2}) \approx <\Phi_{1s} > ZF_{Z}(q^{2}), \qquad \tilde{F}_{n}(q^{2}) \approx <\Phi_{1s} > NF_{N}(q^{2})$$
 (12)

with  $F_{Z}$   $(F_{N})$  the proton (neutron) nuclear form factors defined as

$$F_Z(q^2) = \frac{1}{Z} \int d^3x \rho_p(\mathbf{x}) e^{-i\mathbf{q}\cdot\mathbf{x}}, \qquad F_N(q^2) = \frac{1}{N} \int d^3x \rho_n(\mathbf{x}) e^{-i\mathbf{q}\cdot\mathbf{x}}$$
(13)

In the above approximation the nuclear part of the coherent rate, is analogous to the matrix element

$$M_{gs-gs}^2(q^2) = Z^2 F_Z^2(q^2) \left[ 1 + \frac{3 - f_V \beta}{3 + f_V \beta} \frac{N}{Z} \frac{F_N(q^2)}{F_Z(q^2)} \right]^2$$
 (14)

Thus, the nuclear structure dependence of the coherent  $(\mu^-, e^-)$  conversion rate can be studied by calculating the matrix elements  $M_{gs-gs}^2$  of eq. (14) throughout the periodic table. In the photonic case only the protons of the considered nucleus contribute and the nuclear matrix element becomes  $Z^2F_Z^2(q^2)$ .

In the present work the nuclear form factors  $F_Z(q^2)$  and  $F_N(q^2)$  are calculated by using quasi-particle RPA (see sect. 3 below) and compared with previous shell model results.

#### 3. Coherent $(\mu^-, e^-)$ conversion matrix elements

The nuclear form factors involved in eq. (14) can either be obtained directly from experiment whenever possible [20] or be calculated by using various models as shell model [14], quasi-particle RPA [17] etc. For spherical nuclei in the Born approximation the point-proton (-neutron) nuclear form factors are given by

$$F_{\tau}(q^2) = \frac{1}{\tau} \sum_{k} \alpha_k^{\tau} (2j+1) < k \mid j_0(qr) \mid k >, \qquad \tau = Z, \ N$$
 (15)

where  $\alpha_j^{\tau}$  are the occupation probabilities of the single particle states  $|k\rangle$  included in the used model space,  $k\equiv (n,l,j)$ . In the next subsections we describe in brief two methods of calculation of the nuclear form factors based on: (i) the shell model and (ii) the quasi-particle RPA.

#### A. Shell model form factors with fractional occupation probabilities

In the independent particle shell model, which is more appropriate for closed shell nuclei, the occupation probabilities  $\alpha_k^{\tau}$  in eq. (15) are zero for unoccupied states and unity for occupied states. For open-shell spherical nuclei or closed-shell spherical nuclei with diffused surfaces, the quantities  $\alpha_k^{\tau}$  are generally fractional numbers. If one uses harmonic oscillator wave functions, the point-nucleon form factors  $F_Z$  and  $F_N$  can be cast in compact analytical formulas as [21]

$$F_{\tau}(q^2) = \frac{1}{\tau} e^{-(qb)^2/4} \sum_{\lambda=0}^{N_{space}} \theta_{\lambda}^{\tau} (qb)^{2\lambda}, \qquad \tau = Z, N$$
 (16)

where b is the harmonic oscillator parameter,  $N_{space}$  represents the maximum harmonic oscillator quanta included in the model space used and  $\theta_{\lambda}^{\tau}$  the coefficients

$$\theta_{\lambda}^{\tau} = \sum_{(n,l)j, \ \lambda \ge l} a_{nlj}^{\tau} \frac{\pi^{\frac{1}{2}} (2j+1)n! C_{nl}^{\lambda-l}}{2\Gamma(n+l+\frac{3}{2})}$$
 (17)

In eq.  $\Gamma(x)$  is the known gamma function and

$$C_{nl}^{m} = \sum_{\kappa=0}^{m} \frac{(-)^{m}}{\kappa!(m-\kappa)!} {n+l+\frac{1}{2} \choose n-\kappa} {n+l+\frac{1}{2} \choose n+\kappa-m}$$
(18)

In the case of the independent particle shell model, the coefficients  $\theta_{\lambda}^{\tau}$  are the rational numbers of table 2 ref. [21]. We should mention that a similar expression to that of eq. (17) is also obtained if one takes into account Gausian-type corrections in the point-nucleon form factors due to the nucleon finite size and center of mass motion of the nucleus (see ref. [21]).

#### B. Quasi-particle RPA Calculation

In the context of quasi-particle RPA, the form factors  $F_Z$  and  $F_N$  can be obtained by using as nuclear ground state either an uncorrelated vacuum or a correlated vacuum. The uncorrelated vacuum can be a BCS type vacuum or a Hartree - Fock - Bogolyubov (HFB) vacuum. In the majority of QRPA studies the considered BCS ground state contained only proton - proton and neutron - neutron pairing correlations. The proton - neutron pairing correlations could be included in the framework of the Hartree - Fock - Bogolyubov (HFB) theory. Recently, the QRPA theory has been extended so as the proton - neutron pairing to be taken into account by using a HFB ground state. Such a QRPA teatment of the nuclear double beta decay process. for example, indicates that the effect of proton - neutron pairing is significant [22,23].

In the present work, however, we consider the proton-neutron pairing to be negligible for the  $(\mu^-, e^-)$  conversion and we shall use a BCS ground state. In this case,  $F_Z$  and  $F_N$  are

calculated from eq. (15) by replacing the occupation probabilities  $\alpha_k^T$  with the quantities  $\left(V_j^Z\right)^2$  (for protons) and  $\left(V_j^N\right)^2$  (for neutrons), where  $V_j^Z$ ,  $V_j^N$  are the amplitudes for the proton, neutron single particle states to be occupied which are determined by solving the BCS equations.

Since, as is well known, the QRPA ground state takes into account the short range nucleon-nucleon correlations, the effect of which has recently been proved to be very important [17], in the present work we will study the effect of these correlations on the coherent  $(\mu^-, e^-)$  matrix elements. The short range nucleon - nucleon correlations can be included in the ground state by defining the correlated QRPA vacuum  $|\tilde{0}\rangle$  in terms of the uncorrelated vacuum  $|0\rangle$  as [24]-[27]

$$|\tilde{0}\rangle = N_0 e^{\hat{S}^+} |0\rangle$$
 (19)

where  $N_0$  is a normalization constant and  $\hat{S}^+$  the operator

$$\hat{S}^{+} = \frac{1}{2} \sum_{i,j,M} (-1)^{J-M} C_{ij}^{J} A_{i}^{+}(JM) A_{j}^{+}(J-M)$$
 (20)

The operators  $A_i^+(JM)$  denote the two quasi-particle creation operators in the angular momentum coupled representation. The indices i and j run over those two - quasiparticle configurations of the chosen model space which are coupled to a given J. The correlation matrix  $C^J$  (symmetric matrix) is constructed for each angular momentum J from the  $X^J$  and  $Y^J$  matrices, i.e. from the QRPA amplitudes for forward and backward excitation. A first order approximation for  $C^J$  is the following [25]

$$C_{ij}^{J} = \left(Y^{J} \left[X^{J}\right]^{-1}\right)_{ij} \tag{21}$$

Then, by keeping first order terms for the correlation matrix C in eq. (19), the normalization constant  $N_0$  is given by

$$N_0^2 = \left[1 + \frac{1}{2} \sum_{ij,J} |C_{ij}^J|^2\right]^{-1}$$
 (22)

By using the correlated QRPA vacuum of eq. (19), the coherent rate matrix elements could be approximated in the form

$$<\tilde{0} \mid T \mid \tilde{0}> = N_0^2 < 0 \mid T \mid 0>$$
 (23)

This means that the correlated matrix elements are a rescaling of the uncorrelated ones.

#### 4. Results and Discussion

In the present work we have calculated the matrix elements  $M_{gs-gs}^2$  of eq. (14) for the coherent  $(\mu^-, e^-)$  conversion rate in the context of quasi-particle RPA. We have used harmonic oscillator wave functions to compute the elastic nuclear form factors  $(F_Z)$  and  $F_N$  entering eq. (14) for the nuclei  $^{48}Ti$ ,  $^{60}Ni$ ,  $^{72}Ge$ ,  $^{112}Cd$ ,  $^{162}Yb$  and  $^{208}Pb$ . In the BCS description of the uncorrelated ground state, the single particle energies have been calculated from a Coulomb - corrected Wood - Saxon potential with spin - orbit coupling. The G-matrix elements of the realistic Bonn one - boson exchange potential have been considered. The values of pairing parameters  $g_{pair}^p$  and  $g_{pair}^n$  renormalizing the proton and neutron pairing

channels in the G - matrix have been deduced by comparing the quasiparticle energies with experimental pairing gaps as described in ref. [28]. Since,  $^{60}_{28}Ni$  is a closed-shell (for protons) nucleus and  $^{208}_{22}Pb$  is double closed-shell nucleus, their pairing parameters have been deduced from the neighbouring nuclei  $^{60}_{26}Fe$  and  $^{208}_{84}Po$ , respectively, in analogy to that done in nuclear double beta decay of  $^{48}Ca$  [19]. The model space, the harmonic oscillator size parameters and the pairing parameters  $g^p_{pair}$ ,  $g^n_{pair}$  used for each studied nucleus are shown in table 1.

The proton,  $F_Z(q^2)$ , and neutron,  $F_N(q^2)$  nuclear form factors obtained in the way described in sect. 2, are listed in table 2. We distinquished the following two cases of the momentum transferred to the nucleus: (i) by neglecting the muon binding energy  $\epsilon_b$  the elastic value of the momentum transfer is the same for all nuclei i.e.  $q \approx m_\mu \approx .535 fm^{-1}$  (in table 2 these results are labeled as QRPA(i)). (ii) by taking into account  $\epsilon_b$  the elastic momentum transfer is equal to  $q \approx m_\mu - \epsilon_b$  and varies from  $q \approx .529 fm^{-1}$  (for  $^{48}Ti$ , where  $\epsilon_b \approx 1.3 MeV$ ) to  $q \approx .482 fm^{-1}$  (for  $^{208}Pb$ , where  $\epsilon_b \approx 10.5 MeV$ ). In table 2 these results are labeled as QRPA(i). In this way, we can test the approximation of neglecting the muon binding in the calculation of the ground state to ground state transition matrix elements. We recall that the shell model results of ref. [4] were obtained with  $q_{el} = .535 fm^{-1}$  throughout the periodic table, i.e. they correspond to QRPA(i) case.

By comparing the QRPA(i) form factors with the shell model ones we see that the two methods give about the same results. However, the form factors of QRPA(ii) for heavy nuclei differ appreciably from those of QRPA(i) and shell model ones. For  $^{208}Pb$ , for example, the QRPA(ii) form factors are about 30% larger than the corresponding QRPA(i) and shell model results. This is because  $\epsilon_b$  makes the momentum transfer to the nucleus smaller and consequently the form factors bigger. The larger  $\epsilon_b$  (lead region) the bigger form factor.

**Table 1.** Renormalization constants for proton  $(g_{pair}^p)$  and neutron  $(g_{pair}^n)$  pairing interactions determined from the experimental proton  $(\Delta_p^{exp})$  and neutron  $(\Delta_p^{exp})$  pairing gaps.

Nucleus	Configuration Space	$b_{ho}(fm^{-1})$	$\Delta_p^{exp}(MeV)$	$\Delta_n^{exp}(MeV)$	$g_{pair}^p$	$g_{pair}^n$
$^{48}_{22}Ti_{26}$	16 levels (no core)	1.92	1.896	1.564	1.082	1.002
$^{60}_{28}Ni_{32}$	16 levels (no core)	2.02	$1.718^{a}$	$1.395^{a}$	1.033	0.901
$^{72}_{32}Ge_{40}$	16 levels (no core)	2.07	1.611	1.835	0.924	0.995
$^{112}_{48}Cd_{64}$	16 levels (core ${}^{40}_{20}Ca_{20}$ )	2.21	1.506	1.331	1.099	0.950
$^{162}_{70}Yb_{92}$	23 levels (core ${}^{40}_{20}Ca_{20}$ )	2.32	1.170	1.104	0.894	0.951
$^{208}_{82}Pb_{126}$	18 levels (core $^{100}_{50}Sn_{50}$ )	2.40	0.807°	0.611 <sup>a</sup>	0.861	1.042

<sup>&</sup>lt;sup>a</sup> For the closed shell nuclei the parameters  $g_{pair}^p$  and  $g_{pair}^n$  have been borrowed from the  $(N\pm 2, Z\mp 2)$  nuclei i.e. the experimental gaps (columns 4 and 5) for  $^{60}_{28}Ni_{32}$  and  $^{208}_{82}Pb_{126}$ , are those of  $^{60}_{26}Fe_{34}$  and  $^{208}_{84}Po_{124}$ , respectively.

Table 2. Nuclear form factors for protons  $(F_Z)$  and neutrons  $(F_N)$  calculated in the context of the shell model and quasi-particle RPA. The two cases of the quasi-particle RPA results refer to momentum transfer: (i)  $q=m_{\mu}$  for all nuclei (columns labeled QRPA(i)) and (ii)  $q=m_{\mu}-\epsilon_b$  which depend on the considered nucleus (columns labeled QRPA(i)).

Nucleus	Shell Model			QRPA (i)		QRPA (ii)		
(A,Z)	$b_{ho} (fm^{-1})$	$F_Z$	$F_N$	$F_Z$	$F_N$	$\epsilon_b \; (MeV)$	$F_Z$	$F_N$
$^{48}_{22}Ti_{26}$	1.906	.543	.528	.528	.506	1.250	.537	.514
$^{60}_{28}Ni_{32}$	1.979	.489	.478	.489	.476	1.950	.503	.490
$^{72}_{32}Ge_{40}$	2.040	.470	.448	.456	.435	2.150	.472	.451
$^{112}_{48}Cd_{64}$	2.202	.356	.318	.349	.312	4.890	.388	.352
$\frac{162}{70}Yb_{92}$	2.335	.261	.208	.252	.218	7.500	.314	.280
$^{208}_{82}Pb_{126}$	2.434	.194	.139	.207	.151	10.475	.294	.236

**Table 3.** Coherent  $(\mu^-, e^-)$  conversion matrix elements calculated in the context of shell model and quasi-particle RPA. See caption of table 2.

Nucleus	Photonic Mechanism ( $\beta = 3$ )			Non-Photonic Mechanism ( $\beta = 5/6$ )		
(A, Z)	Shell Model	QRPA (i)	QRPA (ii)	Shell Model	QRPA (i)	QRPA (ii)
$\frac{48}{22}Ti_{26}$	142.7	135.2	139.6	374.3	363.2	375.2
$^{60}_{28}Ni_{32}$	187.5	187.8	198.7	499.6	498.2	527.4
$^{72}_{32}Ge_{40}$	212.9	212.7	227.8	595.8	596.2	639.5
$\frac{112}{48}Cd_{64}$	274.2	280.0	346.7	769.4	785.8	983.3
$\frac{^{162}Yb_{92}}{^{70}}$	313.6	311.0	484.3	796.0	840.3	1412.1
$^{208}_{82}Pb_{126}$	240.2	287.5	582.9	631.4	767.5	1674.9

The nuclear matrix elements given from eq. (14) are listed in  $table\ 3$ . We see that the coherent matrix elements show the following characteristics: (i) The results obtained by neglecting the muon binding energy (cases QRPA(i) and shell model), increase up to  $A \approx 160~(^{162}Yb)$  where they start to decrease. (ii) By taking into account the muon binding

energy, case QRPA (ii), the obtained matrix elements become even a factor of 2 bigger in the lead region. The latter conclusion is in agreement with ref. [16], where a local density approximation was used and  $\epsilon_b$  was calculated by solving the Schrödinger equation.

Another important conclusion is the fact that, the QRPA(ii) matrix elements  $(M_{gs\to gs}^2)$  increase continuously up to lead. In ref. [16] it was found that the coherent matrix elements start to decrease around  $^{238}U$ . This means that the coherent rate is bigger for heavy nuclei (lead region) and that, from an experimental point of view, one has to employ as heavy as possible nuclear targets provided that they also satisfy other additional criteria e.g. the minimization of the reaction background etc. In addition the  $(\mu^-, e^-)$  conversion electrons are expected to show a pronounced peak around  $E_e = m_\mu - \epsilon_b$ , which in lead region is about 95 MeV. The dependence of the branching ratio  $R_{eN}$  of the coherent process on the mass number A is shown in fig. 1.

We should mention that, in the present approach as well as the one used in ref. [4], we use a mean value for the overlap between the muon and nuclear wave function (see eq. (12)). This is described by the effective charge  $Z_{eff}$  which feels the muon in the 1s atomic orbit [16,29]. In ref. [16] an exact muon wave function was used for the description of the muon nucleus overlap and found that this approximation is not very reliable in the  $^{208}Pb$  region and beyond.

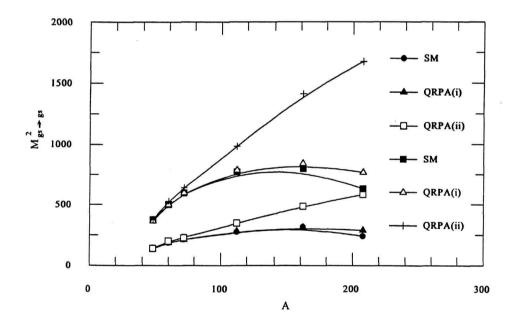


Figure 1. Variation of the coherent  $(\mu^-, e^-)$  conversion matrix elements  $(M_{gs-gs}^2)$  with respect to the mass number A for the photonic mechanism (three lower curves) and the non-photonic mechanism (three upper curves). Consideration of the muon binding energy  $\epsilon_b$  (QRPA(ii) results) strongly affects the matrix elements for heavy nuclei. For comparison the results of ref. [7] (shell model results) are also shown.

We must also recall that, contrary to the present calculations, the shell model results of ref. [4] take into account the finite nucleon size by folding the nuclear point-density with a Gaussian proton (neutron) density distribution. This correction reduces the form factors by about 5%. However, these results do not include any corrections due to the smearing of the Fermi surface but the studied nuclei have been assumed of closed shells, i.e. with occupation probabilities zero and one. In the case of quasi-particle RPA, the occupation probabilities are fractional numbers for all states included in the model space, namely, they are about equal to unity for the inner most levels and progressively decrease as we go to the uppermost levels where they tend to zero. A similar picture in the context of shell model has been recently developped [21] by determining the fractional occupation probabilities from the elastic scattering form factor data.

In the present work we have also estimated the effect of the ground state correlations on the coherent matrix elements by using a correlated quasi-particle RPA vacuum instead of the uncorrelated one, as we have stated in sect. 3B. We found that the coherent matrix elements obtained by using eq. (22) are about 30-35% smaller than those of eq. (14) which means that the ground state correlations strongly reduce the coherent matrix elements.

#### 5. Conclusions

In the present work we have studied the dependence of the coherent  $(\mu^-, e^-)$  conversion matrix elements on the nuclear parameters A and Z. We have employed the quasi-particle RPA method to determine the proton and neutron nuclear form factors for a set of six nuclei from  $^{48}Ti$  to  $^{208}Pb$ . We found that the coherent rate increase continuously up to the lead region.

We have also investigated the effect of consideration of the muon binding energy in the kinematic of the  $(\mu^-, e^-)$  process and the nucleon-nucleon correlations in the QRPA ground state on the  $\mu - e$  matrix elements. We found that the present result are in good agreement with those extracted in the framework of the Local Density Approximation. However, the quasi-particle RPA results differ appreciably for heavy nuclei from those obtained in the context of the shell model.

One of us (T.S.K.) would like to acknowledge the hospitality of the Institute of Theoretical Physics, of the University of Tübingen.

### References

- [1] S. Weinberg and G. Feinberg, Phys. Rev. Lett. 3 (1959) 111
- [2] O. Shanker, Phys. Rev D 20 (1979) 1608
- [3] J. D. Vergados, Phys. Rep. 133 (1986) 1.
- [4] T.S. Kosmas and J. D. Vergados, Nucl. Phys. A 510 (1990) 641.

- [5] J. W. F. Valle, Prog. Part. Nucl. Phys. 26 (1991) 91.
- [6] T. S. Kosmas, G. K. Leontaris and J. D. Vergados, Prog. Part. Nucl. Phys. 33, in press.
- [7] S. Ahmad et. al., Phys. Rev. Lett. 59 (1987) 970; Phys. Rev. D 38 (1988) 2102
- [8] (SINDRUM Collaboration), A. Badertscher et al., J. of Phys. G 17 (1991) S47; A. van der Schaaf, Prog. Part. Nucl. Phys. 31 (1993) 1.
- [9] (MELC Collaboration), V. S. Abadjev et al., preprint INS/Moscow (1992)
- [10] J. Steinberger and H. Wolfe, Phys. Rev 113 (1959) 1490
- [11] M. Conversi et al., Phys. Rev 122 (1961) 687
- [12] D. A. Bryman et al., Phys. Rev Lett. 28 (1972) 1469
- [13] A. Badertscher et al., Nucl. Phys. A 377 (1982) 406
- [14] T. S. Kosmas and J. D. Vergados, Phys. Lett. B 215 (1988) 460.
- [15] T. S. Kosmas and J. D. Vergados, Phys. Lett. B 217 (1989) 19.
- [16] H.C. Chiang, E. Oset, T.S. Kosmas, A. Faessler and J.D. Vergados, Nucl. Phys. A 559 (1993) 526
- [17] T. S. Kosmas, J. D. Vergados, O. Civitarese and A. Faessler, Nucl. Phys. A 570 (1994) 637.
- [18] T. S Kosmas, Amand Faessler, F. Simkovic and J. D. Vergados, Nucl. Phys. A, submitted; T. S. Kosmas and J. D. Vergados, Phys. Reports, in press.
- [19] J. Suhonen, J. Phys. G 19 (1993) 139.
- [20] H. de Vries, C. W. de Jager and C. de Vries, Atomic Data and Nuclear Data Tables, 36 (1987) 495.
- [21] T. S. Kosmas and J. D. Vergados, Nucl. Phys. A 523 (1992) 72
- [22] M.K. Cheoun, A. Bobyk. A. Faessler, F. Šimkovic and G. Teneva, Nucl. Phys. A 561 (1993) 74.
- [23] M.K. Cheoun, A. Bobyk, A. Faessler, F. Šimkovic and G. Teneva, Nucl. Phys. A 564 (1993) 329.
- [24] D. J. Rowe, Nuclear collective motion, (Methuen and CO. LTD., 1970).
- [25] E. A. Sanderson, Phys. Lett. 19 (1965) 141; J. Da Providencia, Phys. Lett. 21 (1966) 668
- [26] P. J. Ellis, Nucl. Phys. A 467 (1987) 173
- [27] J. A. McNeil, C. E. Price and J. R. Shepard, Phys. Rev. C 42 (1990) 2442
- [28] J. Suhonen, I. Taigel and A. Faessler. Nucl. Phys. A 486 (1988) 91 and references therein.
- [29] K. W. Ford and J. G. Wills. Nucl. Phys. 35 (1962) 295; J. C. Sens, Phys. Rev. 113 (1959) 679;

#### LIST OF PARTICIPANTS

ADAMIDES Eleftherios, Department of Physics, National Technical University of Athens, Zografou Canpus, GR 11253, Athens.

ANAGNOSTATOS Gerassimos, Institute of Nuclear Physics, NCSR Demokritos GR-15310, Aghia Paraskevi, Attiki.

ASLANOGLOU Xenophon, Department of Physics, University of Ioannina, GR-45110 Ioannina.

ASSIMAKOPOULOS Panayotis, Department of Physics, University of Ioannina, GR-45110 Ioannina.

BONATSOS Dennis, Institute of Nuclear Physics, NCSR Demokritos, GR-15310 Aghia Paraskevi, Attiki.

DASKALOYANNIS Kostas, Department of Theoretical Physics, University of Thessaloniki, GR-54006 Thessaloniki.

DIVARI Paraskevi, Institute of Nuclear Physics, NCSR Demokritos, GR-15310 Aghia Paraskevi, Attiki.

DOUKELLIS Gabriel, Institute of Nuclear Physics, NCSR Demokritos, GR-15310 Aghia Paraskevi, Attiki.

GALIOS Georgios, Institute of Nuclear Physics, NCSR Demokritos, GR-15310 Aghia Paraskevi, Attiki.

GRYPEOS Michael, Department of Theoretical Physics, University of Thessaloniki, GR-54006 Thessaloniki.

HARISSOPULOS Sotirios, Institute of Nuclear Physics, NCSR Demokritos, GR-15310 Aghia Paraskevi, Attiki.

IOANNIDIS Constantine, Department of Physics, University of Ioannina, GR-45110 Ioannina.

KALFAS Constantine A, Institute of Nuclear Physics, NCSR Demokritos, GR-15310 Aghia Paraskevi, Attiki.

KOLOKOTRONIS Panayotis, Institute of Nuclear Physics, NCSR Demokri-

tos, GR-15310 Aghia Paraskevi, Attiki.

KOSMAS Theocharis, Division of Theoretical Physics, University of Ioannina, GR-45110 Ioannina.

KOTSOS Bill, Eantos Lokrou 17, GR-35200, Atalanti

KOUTROULOS Christos, Department of Theoretical Physics, University of Thessaloniki, GR-54006 Thessaloniki.

LENIS Demetrios, Institute of Nuclear Physics, NCSR Demokritos, GR-15310 Aghia Paraskevi, Attiki.

LIOLIOS Anastassios, Division of Nuclear Physics, University of Thessaloniki, GR-54006 Thessaloniki.

MASEN Stylianos, Department of Theoretical Physics, University of Thessaloniki, GR-54006 Thessaloniki.

MAVROMMATI-FOUNTOU Eirini, Nuclear and Particle Physics Section, University of Athens, Panepistimiopolis, Kouponia, GR-15771 Athens.

PAKOU Athena, Department of Physics, University of Ioannina, GR-45332 Ioannina.

PANTIS George, Division of Theoretical Physics, University of Ioannina, GR-45110 Ioannina.

PAPADOPOULOS Costas, Department of Physics, National Technical University of Athens, Zographou Campus, GR-11253 Athens.

PARADELLIS Nikiphoros-Themistoklis, Institute of Nuclear Physics, NC-SR Demokritos, GR-15310 Aghia Paraskevi, Attiki.

PETRAKI Marianthi, Nuclear and Particle Physics Section, University of Athens, Panepistimiopolis, Kouponia, GR-15771 Athens.

PETRIDOU Theodora, Department of Theoretical Physics, University of Thessaloniki, GR-54006 Thessaloniki.

FOTIADIS Nicos, Institute of Nuclear Physics, NCSR Demokritos, GR-15310 Aghia Paraskevi, Attiki.

SAMPSONIDES Dimitrios, Department of Physics Aristotel University of Thessaloniki GR-54006, Thessaloniki

SAVIDOU Anastasia, Institute of Nuclear Physics, NCSR Demokritos, GR-15310 Aghia Paraskevi, Attiki.

SERRIS Michael, Department of Physics, National Technical University of Athens. Zographou Campus, GR-11253 Athens.

SINATKAS Ioannis, Lefki Kastorias, GR-52050.

SYROS Constantine, Physics Section, School of Engineering, University of Patras, GR-26110 Patras.

VLASTOU Rosa, Department of Physics, National Technical University of Athens, Zographou Campus, GR-11253 Athens.

ZAMAKI-ZAMANI Valasiadou. Division of Nuclear Physics, University of Thessaloniki, GR-54006 Thessaloniki.